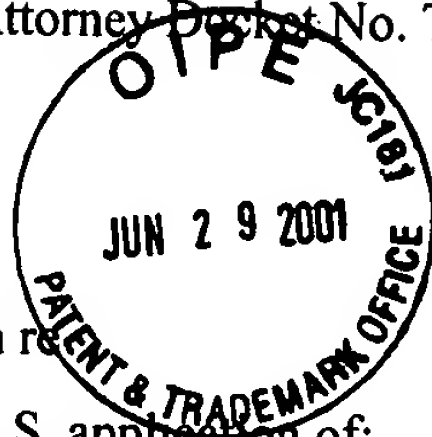


Attorney Docket No. TEQ 1117005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application of: Douglas R. Elliott

For: **METHOD OF REPEATEDLY SECURITIZING INTELLECTUAL PROPERTY
ASSETS AND FACILITATING INVESTMENTS THEREIN**

U.S. Serial No.: 09/758,624

Filed: January 11, 2001

Group Art Unit: 2768

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Technology Center 2100

Assistant Commissioner for
Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on <u>6-26-01</u>	
<u>Yanela O. CARPENTER</u>	
Typed or Printed Name	
<u>Yanela O. Carpenter</u>	
Signature	

INFORMATION DISCLOSURE STATEMENT

Pursuant to the provisions of 37 C. F. R. §1.97, Applicant hereby makes of record the references set forth in the attached modified form PTO-1449. No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects the relative pertinence. The cited patents are listed in numerical order and not in any order based on their pertinence. The references cited are not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that the items listed in the attached Form 1449 are properly citable against the above-identified application as prior art.

Applicant considers the invention to be distinguishable over the references cited.

It is requested that the Examiner fully considers the cited references and that they be cited on the front of any patent issuing from this application. Copies of the cited references are attached.

As this Information Disclosure Statement is being filed before the issuance of the first office action, no fee is enclosed. However, if a fee were to be required, please charge any fee (other than an issue fee) required during

the pendency of this U. S. patent application to Deposit Account No. 50-0605 of CARR & STORM, L.L.P.

Respectfully submitted,
CARR & STORM, L.L.P.



Robin L. Barnes
Registration No. 45,936

Date June 26, 2001
CARR & STORM, LLP
670 Founders Square
900 Jackson Street
Dallas, Texas 75202
(214) 760-3004 (direct)
(214) 760-3000
File: TEQ 1117005

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE LIST (Use several sheets if necessary)	ATT. DOCKET NO. TEQ 1117005	SERIAL NO. 09/758,624
	APPLICANT Douglas R. Elliott	RECEIVED JUN 05 2001 Technology Center 2100
	FILING DATE January 11, 2001	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,148,365	9/15/92	Dembo	364	402	
	AB	5,193,056	3/9/93	Boes	364	408	
	AC	5,644,726	7/1/97	Oppenheimer	395	238	
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	AK	5,812,988	09/22/98	Sandretto	750	36	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	EP 0 825 544 A1	02/25/98	Europe				
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	AN	Dyan Machan, "An Edison for a New Age?", FORBES, May 17, 1999, pp 178-182 and 184-185.
	AO	Jay Palmer, "Dell-phic Oracle" Michael Dell's vision: more internet, more access, more change, BARRON's: THE DOW JONES BUSINESS AND FINANCIAL WEEKLY, June 21, 1999, pp 33, 34 and 36.
	AP	Richard Binns et al., "Using IPR to Raise Debt Capital," les Nnouvelles, June 1999, pp 78-80
	AQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

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	<div style="position: relative; width: 100px; height: 100px; margin: 0 auto;"> <div style="position: absolute; top: 0; left: 0; right: 0; bottom: 0; border: 1px solid black; border-radius: 50%; text-align: center; line-height: 100px; font-size: 12px;"> OFFICE JUN 29 2001 PATENT & TRADEMARK OFFICE </div> </div>	
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U.S. PATENT DOCUMENTS

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	AA						
	AB						
	AC						
	AD						
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	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL		02/25/98	Europe				
	AM							
	AM							

(Including Author, Title, Date, Pertinent Pages, Etc.).

	AN	Neil D. J., "The Valuation of Intellectual Property" <u>International Journal of Technology Management</u> , 1988. Switzerland, Vol. 3, No 1-2, pp 31-42, XP 000900595
	AO	Mansini R. Et al., "Selection of Lease Contracts in an asset-backed Securitization: a real case analysis", <u>Workshop of Decision Theory and Decision Support</u> , Luxemburg, Austria, 25-27 February 1998. Vol. 28, No. 4, pp 739-754, XP-000900694.
	AP	
	AQ	

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.</p>	